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**DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **ZINC FINGER PROTEIN COMPOSITIONS** the specification of which \_\_\_\_\_ is attached hereto or X was filed on March 23, 2000 as Application No. 09/535,088 and was amended on \_\_\_\_\_ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:


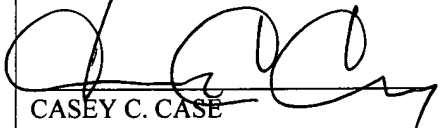

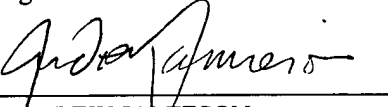
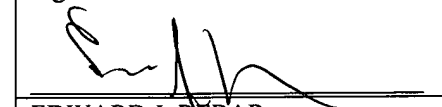
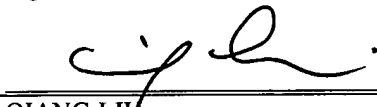
Application No.	Filing Date
60/126,238	March 24, 1999
60/126,239	March 24, 1999
60/146,595	July 30, 1999
60/146,615	July 30, 1999

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Full Name of Inventor 1:	Last Name: <b>EISENBERG</b>	First Name: <b>STEPHEN</b>	Middle Name or Initial: <b>P.</b>	
Residence & Citizenship:	City: <b>Boulder</b>	State/Foreign Country: <b>CO</b>	Country of Citizenship: <b>United States</b>	
Post Office Address:	Post Office Address: <b>5664 Pennsylvania Pl.</b>	City: <b>Boulder</b>	State/Country: <b>CO</b>	Postal Code: <b>80303</b>
Full Name of Inventor 2:	Last Name: <b>CASE</b>	First Name: <b>CASEY</b>	Middle Name or Initial: <b>C.</b>	
Residence & Citizenship:	City: <b>San Mateo</b>	State/Foreign Country: <b>CA</b>	Country of Citizenship: <b>United States</b>	
Post Office Address:	Post Office Address: <b>605 Barroilhet Avenue</b>	City: <b>San Mateo</b>	State/Country: <b>CA</b>	Postal Code: <b>94402</b>
Full Name of Inventor 3:	Last Name: <b>COX III</b>	First Name: <b>GEORGE</b>	Middle Name or Initial: <b>N.</b>	
Residence & Citizenship:	City: <b>Louisville</b>	State/Foreign Country: <b>CO</b>	Country of Citizenship: <b>United States</b>	
Post Office Address:	Post Office Address: <b>678 West Willow Street</b>	City: <b>Louisville</b>	State/Country: <b>CO</b>	Postal Code: <b>80027</b>
Full Name of Inventor 4:	Last Name: <b>JAMIESON</b>	First Name: <b>ANDREW</b>	Middle Name or Initial:	
Residence & Citizenship:	City: <b>San Francisco</b>	State/Foreign Country: <b>CA</b>	Country of Citizenship: <b>United Kingdom</b>	
Post Office Address:	Post Office Address: <b>2528 Sutter Street</b>	City: <b>San Francisco</b>	State/Country: <b>CA</b>	Postal Code: <b>94115</b>
Full Name of Inventor 5:	Last Name: <b>REBAR</b>	First Name: <b>EDWARD</b>	Middle Name or Initial: <b>J.</b>	
Residence & Citizenship:	City: <b>El Cerrito</b>	State/Foreign Country: <b>CA</b>	Country of Citizenship: <b>United States</b>	
Post Office Address:	Post Office Address: <b>1609 Roger Court</b>	City: <b>El Cerrito</b>	State/Country: <b>CA</b>	Postal Code: <b>94530</b>
Full Name of Inventor 6:	Last Name: <b>LIU</b>	First Name: <b>QIANG</b>	Middle Name or Initial:	
Residence & Citizenship:	City: <b>Foster City</b>	State/Foreign Country: <b>CA</b>	Country of Citizenship: <b>United States</b>	
Post Office Address:	Post Office Address: <b>55 Williams Lane</b>	City: <b>Foster City</b>	State/Country: <b>CA</b>	Postal Code: <b>94404</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

<p>Signature of Inventor 1</p> <p></p> <p>STEPHEN P. EISENBERG</p> <p>Date JUNE 14, 2000</p>	<p>Signature of Inventor 2</p> <p></p> <p>CASEY C. CASE</p> <p>Date 7-11-2000</p>	<p>Signature of Inventor 3</p> <p></p> <p>GEORGE N. COX III</p> <p>Date 6/14/00</p>
<p>Signature of Inventor 4</p> <p></p> <p>ANDREW JAMIESON</p> <p>Date 7/11/00</p>	<p>Signature of Inventor 5</p> <p></p> <p>EDWARD J. REBAR</p> <p>Date 7/11/00</p>	<p>Signature of Inventor 6</p> <p></p> <p>QIANG LIU</p> <p>Date 7/2/00</p>



Attorney Docket No. 019496-003020US

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant: Stephen P. Eisenberg et al.

Application No.: 09/535,088

Filed: March 23, 2000

For: ZINC FINGER PROTEIN COMPOSITIONS

Sangamo BioSciences, Inc., a Delaware Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame(s) \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 7-11-00  
Name: Peter Bluford  
Title: VP, Corporate Development  
Signature: Peter Bluford

**ASSIGNMENT OF PATENT APPLICATION**

WHEREAS, Stephen P. Eisenberg, a United States citizen residing at 5664 Pennsylvania Pl., Boulder, Colorado 80303, Casey C. Case, a United States citizen residing at 605 Barroilhet Avenue, San Mateo, California 94402, George N. Cox III, a United States citizen residing at 678 West Willow Street, Louisville, Colorado 80027, Andrew Jamieson, a United Kingdom citizen residing at 2528 Sutter Street, San Francisco, California 94115, Edward J. Rebar, a United States citizen residing at 1609 Roger Court, El Cerrito, California 94530, and Qiang Liu, a United States citizen residing at 55 Williams Lane, Foster City, CA 94404, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: ZINC FINGER PROTEIN  
COMPOSITIONS  
07/14/00; 07/11/00; 07/14/00; 07/11/00;  
Date(s) of execution of Declaration: 07/11/00 and 07/07/00, respectively  
Filing Date: March 23, 2000  
Application No.: 09/535,088; and

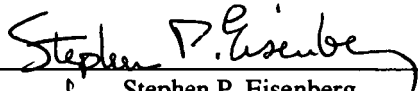
WHEREAS, Sangamo BioSciences, Inc., located at Point Richmond Tech Center, 501 Canal Blvd., Suite A100, Richmond, California 94804, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

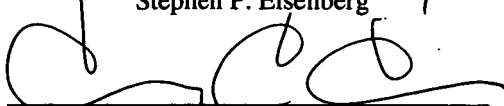
Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: JUNE 14, 2000

  
Stephen P. Eisenberg

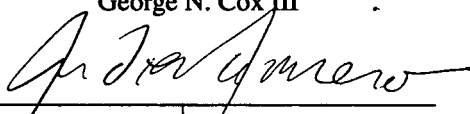
Dated: 7-11-2000

  
Casey C. Case


Dated: JUNE 14, 2000

  
George N. Cox III

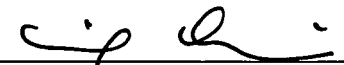
Dated: 7/11/00

  
Andrew Jamieson

Dated: 7/11/00

  
Edward J. Rebar

Dated: July 7, 2000

  
Qiang Liu



#3

Atty. Docket No. 019496-003020US

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

Applicant or Patentee: Stephen P. Eisenberg et al.

Application or Patent No.: 09/535,088

Filed or Issued: March 23, 2000

Title: ZINC FINGER PROTEIN COMPOSITIONS

I hereby declare that I am:

☐  
☒

the owner of the small business concern identified below:

an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Sangamo BioSciences, Inc.

Address of Small Business Concern: Point Richmond Tech Center, 501 Canal Blvd., Suite A100, Richmond, California 94804

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled ZINC FINGER PROTEIN COMPOSITIONS by inventor(s) Stephen P. Eisenberg, Casey C. Case, George N. Cox III, Andrew Jamieson, Edward J. Rebar and Qiang Lui described in:

☐  
☒  
☐

the specification filed herewith.

Application No. 09/535,088 filed March 23, 2000

Patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name \_\_\_\_\_

Address \_\_\_\_\_

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

Name \_\_\_\_\_

Address \_\_\_\_\_

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Peter Bluford

Title of Person if Other than Owner: Vice President, Corporate Development

Address of Person Signing: Point Richmond Tech Center, 501 Canal Blvd., Suite A100, Richmond, California 94804

SIGNATURE \_\_\_\_\_

DATE \_\_\_\_\_

7-11-00